STATE OF CALIFORNIA GRAY DAVIS, Governor

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE SAN FRANCISCO, CA 94102-3298

January 17, 2003

President Michael R. Peevey Commissioner Geoffrey F. Brown Commissioner Susan P. Kennedy Commissioner Loretta M. Lynch Commissioner Carl W. Wood

Subject: ESP Complaint Report

D. 98-03-072 requires the Consumer Services Division (CSD), renamed the Consumer Protection and Safety Division (CPSD) to develop and prepare a report to the Commission showing the number of complaints against ESPs as well as formal enforcement investigations on ESPs. The decision also requires that this information be made available to the public and be posted on the Commission's web site. The report, issued initially every three months, is now issued semiannually in accordance with D. 98-03-072 directives.

Attached is the twelfth ESP complaint report due January 17, 2003, which covers the reporting period of June 2002 through November 2002. The report indicates formal complaints filed against ESPs with the Commission's Docket office as well as formal investigations instituted by the Commission's Consumer Services Division. The registered ESPs appearing on the report were those as of January 17, 2003. The non-registered ESPs on the report are companies that have submitted direct access service requests (DASRs) to the utility distribution companies.

Yours truly,

Richard W. Clark, Director Consumer Protection and Safety Division

Number of ESP Formal Complaints and Investigations Per Company June 2002 – November 2002

Registered ESPs	Complaints per customer	Investigations
3 Phases Electrical Consulting ACN Energy, Inc American Utility Network (A.U.N.) AOL Utility Corp Arizona Public Service (APS)	0 0 0 0	Investigation (A)
electricAmerica/Commonwealth Energy America, LLC Enron Energy Marketing Corp Enron Energy Services, Inc Green Mountain Energy Company Modesto Irrigation District New West Energy Sempra Energy Solutions Strategic Energy LLC	0 0 0 0 0 0 0	Investigation (1)
Non-registered ESPs		
AES NewEnergy, Inc Constellation NewEnergy, Inc Coral power LLC	0 0	
Corona, City Utilities Dept Laguna Irrigation District Pilot Power Group	0 0	
(A) An investigation is on-going against ACN.		
Footnote: To accurately reflect ESP complaint activity, below is the <u>informal complaint</u> activity for the period of June 2002 through November 2002: Money impounded for ESP informal complaints \$ 1,324 Number of ESP informal complaints 49		
(1) Settled		